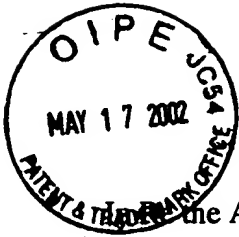


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#5
5-31-2



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: the Application of:

J.J. GARCIA-LUNA-ACEVES, ET AL.

Application No.: 09/845,088

Filed: April 26, 2001

For: **SYSTEM AND METHOD FOR
CONTROLLING ACCESS TO CONTENT
CARRIED IN A CACHING
ARCHITECTURE**

Art Group: 2151

Examiner: Not yet assigned

RECEIVED

MAY 23 2002

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The references were cited in a Search Report dated April 11, 2002 (copy enclosed herewith) from a foreign patent office in a counterpart PCT application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

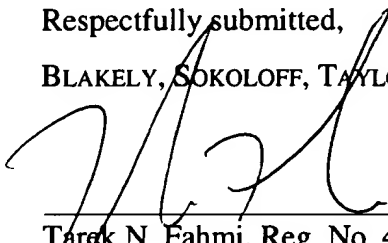
Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Date:

5/7/02

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

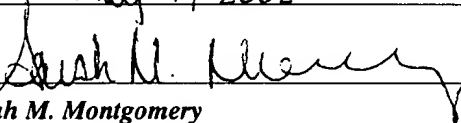


Tarek N. Fahmi, Reg. No. 41,402

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
(408) 947-8200

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

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5/7/02

Date



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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

2

Complete if Known

Application Number	09/845,088
Filing Date	April 26, 2001
First Named Inventor	J.J. Garcia-Luna-Aceves
Group Art Unit	2151
Examiner Name	Not yet assigned
Attorney Docket Number	5543P003

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

*Unique citation designation number. *See attached Kinds of U.S. Patent Documents. *Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). *For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/088 (08-00)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete If Known

Application Number	09/845,088
Filing Date	April 26, 2001
First Named Inventor	J.J. Garcia-Luna-Aceves
Group Art Unit	2151
Examiner Name	Not yet assigned
Attorney Docket Number	5543P003

Sheet	2	of	2
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OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T*
		International Search Report-PCT/US01/13833, April 11, 2002.	
		MACLARTY G ET AL: "Policy-based content delivery: an active network approach", COMPUTER COMMUNICATIONS, ELSEVIER SCIENCE PUBLISHERS BV, AMSTERDAM, NL, vol. 24, no. 2, 1 February 2000 (2000-02-01), pages 241-248, XP004228466.	

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